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**PATENT**  
Attorney Docket No. CTX-036CN  
(1545/68)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Mitchell et al.  
SERIAL NO.: 10/004,199 GROUP NO.: 2152  
FILING DATE: November 2, 2001 EXAMINER: Not yet assigned  
TITLE: Methods and Apparatus for Efficiently Transmitting Interactive  
Application Data Between a Client and Server using Mark-Up  
Language

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**AUG 23 2002**

**Technology Center 2100**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accord with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Per 37 C.F.R. 1.98(d), these patents were previously submitted to, or cited by, the Office in U.S. Patent Application Serial No. 09/391,068, which is relied upon in the present case for an earlier effective filing date under 35 U.S.C. §120, and, therefore, paper copies of these references are not enclosed. Should the Examiner require paper copies of some or all of the references, the undersigned may be contacted at the telephone number listed below.

**REMARKS**

In accord with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

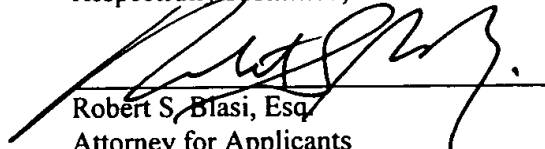
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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